

Note: [31 Oct 2014] – Amendments to NI 23-102 arising from amendments to the Securities Act (Alberta). Refer to Annex D of ASC Notice announcing amendments consequential to the proclamation of the Securities Amendment Act, 2014 (Alberta) dated 21 Oct 2014.

**AMENDMENTS TO
NATIONAL INSTRUMENT 23-102 USE OF CLIENT BROKERAGE COMMISSIONS**

- 1. *National Instrument 23-102 Use of Client Brokerage Commissions is amended by this Instrument.***
- 2. *Section 1.2 is amended***
 - (a) in paragraph (a) by deleting “Alberta,” and deleting “and” at the end of the paragraph,***
 - (b) by adding “, and” at the end of paragraph (b), and***
 - (c) by adding the following paragraph:***
 - (c) in Alberta, “security” includes a derivative..***
- 3. This Instrument comes into force on October 31, 2014.**