Note: [03 Jan 2019] – Amendments to NI 81-104 arising from NI 81-102. Refer to Annex D-1 of the CSA Notice announcing amendments to NI 81-102 dated 04 Oct 2018.

AMENDMENTS TO NATIONAL INSTRUMENT 81-104 COMMODITY POOLS

- 1. National Instrument 81-104 Commodity Pools is amended by this Instrument.
- 2. The title is amended by replacing "NATIONAL INSTRUMENT 81-104 COMMODITY POOLS" with "NATIONAL INSTRUMENT 81-104 ALTERNATIVE MUTUAL FUNDS".
- 3. Subsection 1.1(1) is amended
 - (a) by repealing the definitions of "commodity pool", "independent review committee", and "precious metals fund",
 - (b) by adding "and" at the end of the definition of "Derivatives Fundamentals Course",
 - (c) by deleting "and" at the end of the definition of "mutual fund restricted individual", and
 - (d) by adding the following definition:

"alternative mutual fund" has the same meaning as in section 1.1 of NI 81-102;

4. Section 1.2 is amended

- (a) in paragraph (a) by replacing "a commodity pool" with "an alternative mutual fund", and in subparagraph (i) by replacing "commodity pool" with "alternative mutual fund", and
- (b) in paragraph (b) by replacing "a commodity pool" with "an alternative mutual fund", and by deleting "or pertaining to the filing of a prospectus to which subsection 3.2(1) applies".

5. Section 1.3 is amended

- (a) in subsection (1) by replacing "a commodity pool" with "an alternative mutual fund", and by replacing "commodity pool" with "alternative mutual fund", and
- (b) by repealing subsection (2).
- 6. Part 2 is repealed.
- 7. Part 3 is repealed.

- 8. Section 4.1 is amended by replacing "a commodity pool" with "an alternative mutual fund" wherever it occurs, and by replacing "commodity pools" with "alternative mutual funds" wherever it occurs.
- 9. Part 5 is repealed.
- 10. Part 6 is repealed.
- 11. Part 8 is repealed.
- 12. Section 11.2 is repealed.
- 13. This Instrument comes into force on January 3, 2019.