



Alberta Securities Commission

The Alberta Securities Commission (ASC) is empowered to take certain actions to protect the integrity of Albert's capital market, which includes a world-class oil and gas industry. We are looking for a skilled professional to join our team as:

**LEGAL COUNSEL, MARKET REGULATION**  
**(Competition #2009.34-EX)**

If you are interested in routine work in a slow-paced environment, this is not the position for you. The ASC's Market Regulation Division focuses on developing and applying effective regulatory policies for overseeing securities and derivatives marketplaces, registrants (dealers, advisers, and investment fund managers), and industry self-regulatory organizations. With a dynamic team of lawyers, accountants, and other professionals, we are involved in leading-edge projects aimed at balancing effective regulation with our stakeholders' need for efficient capital market innovation. Focal points include enhancing Alberta's framework for energy trading and derivatives, implementing a new registration regime, and modernizing the oversight of exchanges.

Reporting to the Director and Managers in Market Regulation, this legal counsel position will contribute intelligence, initiative, and the ability to match regulatory solutions with real-world challenges in Alberta's fast moving capital markets. Specific responsibilities include: Developing and enhancing rules and policies in an environment of international scrutiny and rapid change; reporting and making recommendations to ASC management with respect to local and national initiatives; acting as an experienced legal resource for other members of the Market Regulation team; contributing to an integrated, multidisciplinary approach to oversight of market participants; and evaluating exemptive relief applications. Market Regulation counsel also participate on CSA committees and liaise with staff at other securities regulatory bodies, other members of the bar, and members the public on policy and legal issues as required.

The successful candidate will possess a law degree, be a member in good standing (or eligible for membership) with the Law Society of Alberta, and have 5 to 8 years of solid legal experience. Experience with securities law and regulatory policy, securities industry experience, and legislative or regulatory drafting experience is considered an asset. The ideal candidate will be self-motivated, will possess demonstrated research, writing and presentation skills, and will have a proven ability to work both independently and collaboratively.

The Alberta Securities Commission offers an attractive compensation package that is competitive with the corporate sector, and includes an excellent benefits program and a performance based incentive system. Employees at the ASC work in a highly professional and responsive culture where everyone is motivated and challenged to give their best every day, in everything they do. If you feel your experience and potential will suit the dynamic culture of the ASC we encourage you to send a detailed resume and covering letter (*indicate specific job title and competition number*), along with your salary expectations in confidence by March 5, 2010 to:

Human Resources  
Alberta Securities Commission  
4th Floor, 300 – 5<sup>th</sup> Avenue S.W.  
Calgary, Alberta T2P 3C4  
Fax: (403) 355-9040  
[humanresources@asc.ca](mailto:humanresources@asc.ca)  
[www.albertasecurities.com](http://www.albertasecurities.com)

**\*\*\*PLEASE REFERNCE THE SPECIFIC JOB TITLE AND COMPETITION #\*\*\***

THE ALBERTA SECURITIES COMMISSION IS THE REGULATORY AGENCY RESPONSIBLE FOR ADMINISTERING THE PROVINCE'S SECURITIES LAWS TO FOSTER A FAIR AND EFFICIENT CAPITAL MARKET IN ALBERTA AND PROTECT INVESTORS.