

Independent Members Alberta Securities Commission

The Alberta Securities Commission (the ASC or the Commission) is the industry-funded regulator responsible for administering the province's securities laws. It is entrusted with fostering a fair and efficient capital market in Alberta and with protecting investors. As a member of the Canadian Securities Administrators, the ASC works to improve, coordinate and harmonize the regulation of Canada's capital markets.

The ASC is accepting applications from candidates with a strong background in the securities industry and senior level experience in areas such as public accounting, securities law, public company management, investment banking, fund management, retail client advisory or portfolio management, and experience with technologies used in the financial services sector ("fintech"). As a part-time independent member, you will play a key role in determining policy, considering and approving new rules, and considering recommended changes to the *Securities Act* (Alberta) and regulations. The Commission is empowered to grant discretionary exemptions from the requirements of securities laws, and conduct hearings into matters that affect the public interest in Alberta's capital market. Serving as an ASC board director, you will play a stewardship role in governing the management and business affairs of the organization, including approval of the strategic plan, annual business plan and operating budget.

Candidates bring a record of influential leadership with experience and knowledge of the structure and functioning of Alberta and other Canadian capital markets. Handling legal or accounting issues relevant to public companies, adjudicative experience, financial literacy, and experience in the field of public company governance are considerable assets. Prior service on public company boards is beneficial. You have a common sense approach, superior communication and interpersonal skills, and a reputation for principled decision making founded on sound judgment and analysis. Related post-secondary education and a professional designation are desirable.

The appointment process recognizes the importance of reflecting the diversity of Alberta in the composition of the Commission. Appointment is by Order in Council and members are paid an annual retainer and a *per diem* fee for attendance at meetings of the ASC or its committees. Final candidates will be required to undergo a comprehensive background check including security screening and verification of credentials.

Closing Date: September 25, 2018

Job ID: 596

Open Competition

Visit www.alberta.ca for more information and to apply directly on-line. You will be contacted if you are selected for an interview. The Executive Search contact number is (780) 408-8460, and the email address is executivesearch@gov.ab.ca.