

**ALBERTA SECURITIES COMMISSION
INTERIM CEASE TRADE ORDER**

Citation: Re Felgate, 2018 ABASC 35

Date: 20180302

Nicholas John Felgate

Nature of Application

1. Staff (**Staff**) of the Alberta Securities Commission (the **Commission**) seek an interim order pursuant to sections 33 and 198 of the *Securities Act* (Alberta) (the **Act**) directing that Nicholas John Felgate (**Felgate**) cease trading in all securities; Felgate be denied the use of all exemptions contained in Alberta securities laws; and any other relief the Commission deems appropriate in the public interest.

Background

2. Information has come to Staff's attention that has caused them concern that Felgate has contravened Alberta securities laws and acted contrary to the public interest.
3. The Commission has received and considered documentary evidence and submissions.

Order

4. The Commission, considering that the length of time required to conduct a hearing or trial and render a decision could be prejudicial to the public interest and that it is in the public interest to make this order, orders under sections 33(1) and 198(1) of the Act that:
 - (a) Felgate cease trading in all securities; and
 - (b) all exemptions contained in Alberta securities laws do not apply to Felgate.
5. Pursuant to section 33(2) of the Act, this order takes effect immediately and expires in 15 days unless extended by the Commission.

March 2, 2018

For the Commission:

"original signed by"

Tom Cotter

"original signed by"

Karen Kim