

**ALBERTA SECURITIES COMMISSION  
INTERIM ORDER**

**Citation: Re Shaw, 2019 ABASC 152**

**Date: 20191007**

**Logan Keith Shaw**

**Nature of Applications**

1. Staff (**Staff**) of the Alberta Securities Commission (the **Commission**) seek an interim order pursuant to sections 33 and 198 of the *Securities Act* (Alberta) (the **Act**) that: Logan Keith Shaw (**Shaw**) cease trading in or purchasing securities; any registrations held by Shaw under Alberta securities laws be suspended; all exemptions contained in Alberta securities laws do not apply to Shaw; Shaw be prohibited from engaging in any investor relations activities; Shaw be prohibited from acting as a director or officer of any issuer; Shaw be prohibited from acting as a promoter and from acting in a management or consultative capacity in connection with activities in the securities market; and such other relief as the Commission deems appropriate in the public interest (the **Interim Order Application**).
2. Counsel for Shaw seeks an order that the Interim Order Application be dismissed, permanently stayed or delayed until Shaw is able to instruct counsel (the **Shaw Application**).

**Background**

3. Staff issued a notice of hearing (the **Notice of Hearing**) against Shaw and 1681502 Alberta Ltd. (**1681502**) dated February 16, 2018 and cited as *Re Shaw*, 2018 ABASC 30. The hearing into the allegations in the Notice of Hearing has been adjourned three times due to Shaw's health concerns. No new hearing dates are currently scheduled.
4. Information has come to Staff's attention in connection with 1582378 Alberta Ltd. (**1582378**). Staff assert that Shaw is the guiding mind and sole manager of 1582378. The information in Staff's possession has caused Staff concern that Shaw's actions as the guiding mind and sole manager of 1582378 could put investors in 1582378, the capital market and the public interest at significant risk.
5. The Commission has received and considered documentary evidence and submissions.

**Order**

6. The Shaw Application is dismissed.
7. The Commission, considering that the length of time required to conduct a hearing into the allegations against Shaw in the Notice of Hearing and to render a decision could be prejudicial to the public interest and considering that it is in the public interest to make this order, grants the Interim Order Application in part and orders under sections 33(1) and 198(1) of the Act that:

- (a) Shaw cease trading in all securities;
- (b) all exemptions contained in Alberta securities laws do not apply to Shaw;
- (c) Shaw is prohibited from engaging in any investor relations activities;
- (d) Shaw is prohibited from acting as a director or officer (or both) of any issuer;
- (e) Shaw is prohibited from acting as a promoter; and
- (f) Shaw is prohibited from acting in a management or consultative capacity in connection with activities in the securities market

(the **Interim Order**).

8. Pursuant to section 33(3) of the Act, the Interim Order takes effect immediately and remains in effect until any proceeding arising from the Notice of Hearing is finally determined or otherwise concluded.

October 7, 2019

**For the Commission:**

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"original signed by"  
Tom Cotter

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"original signed by"  
James Oosterbaan