

Note: [28 Sep 2009] – The following is 31-103F3 as it was initially implemented. This version of 31-013F3 is no longer current.

FORM 31-103F3
USE OF MOBILITY EXEMPTION

(section 2.2 [client mobility exemption – individuals])

This is to notify the securities regulatory authority that the individual named in paragraph 1 is relying on the exemption in section 2.2 *[client mobility exemption – individuals]* of National Instrument 31-103 *Registration Requirements and Exemptions*.

1. Individual information

Name of Individual: _____

NRD Number of Individual: _____

The Individual is relying on the client mobility exemption in each of the following Jurisdictions of Canada:

2. Firm Information

Name of the Individual's Sponsoring Firm: _____

NRD Number of Firm: _____

Dated: _____

(Signature of an authorized signatory of the individual's sponsoring firm)

(Name and title of authorized signatory)