Note: [28 Sep 2009] – The following is 31-103F3 as it was initially implemented. This version of 31-013F3 is no longer current.

FORM 31-103F3
USE OF MOBILITY EXEMPTION

(section 2.2 [client mobility exemption – individuals])

This is to notify the securities regulatory authority that the individual named in paragraph 1 is relying on the exemption in section 2.2 [client mobility exemption – individuals] of National Instrument 31-103 Registration Requirements and Exemptions.

1. Individual information
   Name of Individual: ____________________________
   NRD Number of Individual: ____________________________
   The Individual is relying on the client mobility exemption in each of the following Jurisdictions of Canada:
   __________________________________________________________
   __________________________________________________________
   __________________________________________________________
   __________________________________________________________

2. Firm Information
   Name of the Individual’s Sponsoring Firm:
   __________________________________________________________
   NRD Number of Firm: ____________________________
   Dated: ____________________________
   (Signature of an authorized signatory of the individual’s sponsoring firm)
   (Name and title of authorized signatory)