ALBERTA SECURITIES COMMISSION

REVOCATION OF CERTAIN REGISTRATION-RELATED BLANKET ORDERS

Citation: Revocation of Certain Registration-Related Blanket Orders, Re, 2011 ABASC 221
Date: 20110412

Background:

1. As a result of amendments made effective 11 July 2011, the subject matter or substantive effect of each of the following blanket orders is now subsumed in National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations:

   - Blanket Order 31-506 Exemption from Certain Sections of National Instrument 31-103 Registration Requirements and Exemptions in Connection with Transition and Grandfathering Matters, 2010 ABASC 76 (Blanket Order 31-506);

   - Blanket Order 31-507 Exemption from Sections 3.6, 3.10 and 3.14 of National Instrument 31-103 Registration Requirements and Exemptions for Chief Compliance Officers of Portfolio Managers Adding a Category, 2010 ABASC 77 (Blanket Order 31-507);

   - Blanket Order 31-508 Exemption from Sections 3.5 and 3.9 of National Instrument 31-103 Registration Requirements and Exemptions for Portfolio Managers Adding a Category, 2010 ABASC 78 (Blanket Order 31-508);

   - Blanket Order 31-509 Exemption from Section 3.3 of National Instrument 31-103 Registration Requirements and Exemptions for Representatives of Scholarship Plan Dealers, 2010 ABASC 79 (Blanket Order 31-509);

   - Blanket Order 31-510 Exemption from Section 14.5 of National Instrument 31-103 Registration Requirements and Exemptions for Canadian Firms, 2010 ABASC 80 (Blanket Order 31-510);

   - Blanket Order 31-516 Exemption from Requirement to Establish Whether a Client is an Insider (Section 13.2(2)(b) of NI 31-103), 2010 ABASC 512 (Blanket Order 31-516); and

   - Blanket Order 31-517 Exemption from the Requirement that Mutual Fund Dealers Identify Individuals with 10% Interests in Corporate Clients (Section 13.2(3)(b)(i) of NI 31-103), 2010 ABASC 513 (Blanket Order 31-517).
Order:


For the Commission:

“original signed by”  “original signed by”
Glenda Campbell, QC  Stephen Murison
Vice-Chair  Vice-Chair