FORM 31-103F3
USE OF MOBILITY EXEMPTION
(section 2.2 [client mobility exemption – individuals])

This is to notify the securities regulatory authority that the individual named in paragraph 1 is relying on the exemption in section 2.2 [client mobility exemption – individuals] of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations.

1. Individual information

Name of Individual: __________________________________________

NRD Number of Individual: ______________

The Individual is relying on the client mobility exemption in each of the following Jurisdictions of Canada:

________________________________________________________________________

________________________________________________________________________

2. Firm Information

Name of the Individual’s Sponsoring Firm: __________________________________________

NRD Number of Firm: ______________

Dated: _______________________________

(Signature of an authorized signatory of the individual’s sponsoring firm)

________________________
(Name and title of authorized signatory)