

**CSA Staff Notice 11-320*****Notice of Local Amendments-Nova Scotia and Yukon***

**November 15, 2012**

On May 6, 2011, we published CSA Staff Notice 11-314 *Update of CSA Instruments* indicating staff's intention to update rule consolidations where a local jurisdiction has amended a national or multilateral instrument to reflect changes that affect activity only in that local jurisdiction.

On June 27, 2012, the Nova Scotia Securities Commission (NSSC) made Rule 11-506 Amendments to Various National and Multilateral Instruments (Rule 11-506) which took effect on September 10, 2012. Rule 11-506 amends the National and Multilateral Instruments in Appendix A to this notice by deleting the address of the NSSC and replacing it with the following address:

“Suite 400, 5251 Duke Street  
Halifax, Nova Scotia  
B3J 1P3”

On August 23, 2012, the Minister of Community Services (Yukon) made Rule to Make Local Amendments to CSA Instruments Implemented in Yukon (Local Rule 11-804) which amends the CSA instruments listed in Appendix A to this notice, implemented in Yukon under Local Rule 11-802, by replacing the contact information for the Yukon Superintendent of Securities with the following wherever it appears:

“Office of the Yukon Superintendent of  
Securities  
Government of Yukon  
Department of Community Services  
307 Black Street, 1<sup>st</sup> Floor  
PO Box 2703 (C-6)  
Whitehorse, Yukon Y1A 2C6  
Telephone: 867-667-5466  
Facsimile: 867-393-6251  
[http://www.community.gov.yk.ca/corp/securities\\_about.html](http://www.community.gov.yk.ca/corp/securities_about.html)”

The text of rule consolidations on the websites of CSA members will now be updated, as necessary, to reflect these local amendments.

Please refer your questions to any of the following people:

Helena Hrubesova  
Securities Officer  
Office of the Yukon Superintendent  
of Securities  
Tel: (867) 667-5466  
[Helena.Hrubesova@gov.yk.ca](mailto:Helena.Hrubesova@gov.yk.ca)

Noreen Bent  
Manager, Corporate Finance Legal Services  
British Columbia Securities  
Commission  
Tel: (604) 899-6741  
[nbent@bcsc.bc.ca](mailto:nbent@bcsc.bc.ca)

Kari Horn  
General Counsel  
Alberta Securities Commission  
Tel: (403) 297-4698  
[kari.horn@asc.ca](mailto:kari.horn@asc.ca)

Dean Murrison  
Director, Securities Division  
Financial and Consumer Affairs  
Authority of Saskatchewan  
Tel: (306) 787-5842  
[Dean.Murrison@gov.sk.ca](mailto:Dean.Murrison@gov.sk.ca)

Chris Besko  
Legal Counsel, Deputy Director  
The Manitoba Securities  
Commission  
Tel: (204) 945-2561  
[Chris.Besko@gov.mb.ca](mailto:Chris.Besko@gov.mb.ca)

Simon Thompson  
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Ontario Securities Commission  
Tel: (416) 593-8261  
[sthompson@osc.gov.on.ca](mailto:sthompson@osc.gov.on.ca)

Sylvia Pateras  
Senior Legal Counsel  
Autorité des marchés financiers  
Tel: (514) 395-0337, extension 2536  
[sylvia.pateras@lautorite.qc.ca](mailto:sylvia.pateras@lautorite.qc.ca)

Manon Losier  
General Counsel and Secretary to the  
Commission  
New Brunswick Securities  
Commission  
Tel: (506) 643-7690  
[manon.losier@nbsc-cvmnb.ca](mailto:manon.losier@nbsc-cvmnb.ca)

Shirley Lee  
Director, Policy and Market Regulation  
Nova Scotia Securities Commission  
Tel: (902) 424-5441  
[leesp@gov.ns.ca](mailto:leesp@gov.ns.ca)

## Appendix A

CSA Instrument	Location of contact information to be replaced
National Instrument 33-109 <i>Registration Information</i>	<ol style="list-style-type: none"> <li>1. Form 33-109F2, Schedule B</li> <li>2. Form 33-109F3, Schedule A</li> <li>3. Form 33-109F4, Schedule O</li> <li>4. Form 33-109F5, Schedule A</li> <li>5. Form 33-109F6, Schedule A</li> <li>6. Form 33-109F7, Schedule F</li> </ol>
National Instrument 41-101 <i>General Prospectus Requirements</i>	Appendix A, Schedule 3
National Instrument 45-102 <i>Resale of Securities</i>	Form 45-102F1
National Instrument 45-106 <i>Prospectus and Registration Exemptions</i>	Form 45-106F1
Multilateral Instrument 51-105 <i>Issuers Quoted in the U.S. Over-the-Counter Markets</i>	<ol style="list-style-type: none"> <li>1. Form 51-105F3A, Schedule 2</li> <li>2. Form 51-105F3B, Schedule 2</li> </ol>
National Instrument 55-102 <i>System for Electronic Disclosure by Insiders (SEDI)</i>	<ol style="list-style-type: none"> <li>1. Form 55-102F1</li> <li>2. Form 55-102F2</li> <li>3. Form 55-102F3</li> <li>4. Form 55-102F6</li> </ol>