

Note: [02 May 2019] – Proposed changes to 51-102CP arising from proposed NI 13-103. Refer to Annex D of CSA Notice announcing proposed NI 13-103 dated 02 May 2019.

PROPOSED CHANGES TO COMPANION POLICY 51-102CP CONTINUOUS DISCLOSURE OBLIGATIONS

- 1. Companion Policy 51-102CP Continuous Disclosure Obligations is changed by this Document.**
- 2. Subsection 1.2(2) is changed by replacing “SEDAR” wherever it occurs with “[Renewed System]”.**
- 3. The Policy is changed by adding the following section:**

1.11 Electronic transmission

National Instrument 13-103 [*System Replacement Rule*] prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the [Renewed system].

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of the [Renewed System], the Appendix of National Instrument 13-103 [*System Replacement Rule*] sets out securities legislation under which documents are excluded from being filed or delivered in the [Renewed System].

Reference to National Instrument 13-103 [*System Replacement Rule*] should be made when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy..

- 4. Subparagraph 3.9(1)(b)(i) is changed by replacing “SEDAR” with “[Renewed System]”.**
- 5. Section 6.1 is changed by replacing “SEDAR” wherever it occurs with “[Renewed System]”.**
- 6. Section 9.2 is changed by replacing “SEDAR” with “[Renewed System]”.**
- 7. Section 9.3 is changed by replacing “SEDAR” with “[Renewed System]”.**

8. *Subsection 10.3(5) is changed by replacing “SEDAR” wherever it occurs with “[Renewed System]”.*
9. These changes become effective on ●.